

Employment of Former Military Personnel and Federal Government Employees

Applicant Questionnaire – Conflict of Interest Analysis

Purpose

The purpose of this questionnaire is to ensure that Sandia National Laboratories and Lockheed Martin maintain strict compliance with all United States government conflict of interest regulations regarding the retaining or hiring of present or former government employees.

Instructions

Please answer each question as directed by this questionnaire. A positive response may not bar you from employment with, or retention as a consultant of, Sandia National Laboratories, but may require further investigation to determine your eligibility.

Sandia Proprietary Information

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<p>Federal statutes and regulations govern certain post-employment activities of former military personnel and federal civilian employees. Severe penalties may result for both the employee and the employer arising from violations of these statutes and regulations. You are required to furnish the following information, which will be used by Sandia National Laboratories in determining whether you are eligible for employment, and, if so, the particular duties you may perform on behalf of Sandia National Laboratories. If any of your responses may be classified, do not answer the question and inform Sandia National Laboratories' Human Resources representative. (Further information may be required through authorized channels.)</p>		
Yes <input type="checkbox"/>	No <input type="checkbox"/>	1. Are you now employed by the United States government (including Presidential appointments), either as a military member, or civilian employee, or as a member of the legislative branch?
Yes <input type="checkbox"/>	No <input type="checkbox"/>	2. Have you, at any time in the past, been employed by the US government (including Presidential appointments), either as an active duty military member, or civilian employee, or as a member of the legislative branch?
<p>If the answer to 1 and 2 above is no, PLEASE STOP HERE, proceed to questions 11a and 11b, and sign and date the form at the bottom.</p>		
<p>3. State the date, or projected date, of your separation from service with the US government:</p> <hr style="border: 0; border-top: 1px solid black; margin-top: 5px;"/>		
<p>4. State your military rank, or civilian employee labor grade, at the time of your separation from government service:</p> <hr style="border: 0; border-top: 1px solid black; margin-top: 5px;"/>		
<p>5. State your position title, dates of service and (during final two years of service) principal duties:</p> <hr style="border: 0; border-top: 1px solid black; margin-top: 5px;"/>		
<p>6. Identify the specific government agency, the office or department of that agency, and location at which you were employed during the two years prior to your separation, or projected separation:</p> <hr style="border: 0; border-top: 1px solid black; margin-top: 5px;"/>		
Yes <input type="checkbox"/>	No <input type="checkbox"/>	7a. At any time during your service while a military member or a civilian employee of the US government, did you personally and substantially participate in any "particular matter" (such as a contract, claim, controversy, dispute, administrative proceeding, etc.) involving Lockheed Martin Corporation/Sandia National Laboratories?
Yes <input type="checkbox"/>	No <input type="checkbox"/>	7b. During the final two years of your service with the government, was any such "particular matter" involving Lockheed Martin Corporation/Sandia National Laboratories pending under your "official responsibility" (direct administrative or operating authority, whether directly or through subordinates, to approve/disapprove or direct government actions)?
<p>If the answer is YES to either of the above, please give a complete description of your involvement, time, place, circumstances, etc.:</p> <hr style="border: 0; border-top: 1px solid black; margin-top: 5px;"/>		

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Yes <input type="checkbox"/>	No <input type="checkbox"/>	<p>8. If you left government service prior to January 1, 1997, is there any federal agency procurement for property or services in which Lockheed Martin/Sandia National Laboratories is or was a competing contractor for which you performed, within the last two years, duties as a "procurement official" (a civilian or military official, or employee of any federal agency, who has participated personally and substantially in: (1) drafting, review or approval of a specification developed for a procurement; (2) preparation or issuance of a procurement solicitation; (3) evaluation of bids and proposals; (4) selection of sources; (5) conduct of negotiations; or (6) review and approval of the award, modification or extension of a contract)?</p>
<p>If the answer to 8 is YES, please give a complete description of your involvement, time, place, circumstances, name of the program or contract, etc.:</p> <hr style="border: 0; border-top: 1px solid black; margin-top: 10px;"/>		
<p>9. If you left government service after January 1, 1997, specify the government agency, the office or department of that agency, and location at which you were employed during the one-year period prior to your separation or projected separation:</p> <hr style="border: 0; border-top: 1px solid black; margin-top: 10px;"/>		
Yes <input type="checkbox"/>	No <input type="checkbox"/>	<p>9a. Did you serve as the procuring contracting officer, source selection authority, a member of a source selection board, or the chief of a financial or technical evaluation team at the time that Lockheed Martin Corporation/Sandia National Laboratories was selected as the contractor for or awarded a contract in excess of \$10 million?</p>
Yes <input type="checkbox"/>	No <input type="checkbox"/>	<p>9b. Did you serve as the program manager, deputy program manager, or administrative contracting officer for a contract in excess of \$10 million awarded to Lockheed Martin Corporation/Sandia National Laboratories?</p>
Yes <input type="checkbox"/>	No <input type="checkbox"/>	<p>9c. Did you personally make for the federal agency?:</p> <p>(1) a decision to award a contract, subcontract, modification to a contract or subcontract, or a task order or delivery order in excess of \$10 million to Lockheed Martin Corporation/Sandia National Laboratories; or</p> <p>(2) a decision to establish overhead or other rates applicable to a contract or contracts for Lockheed Martin Corporation/Sandia National Laboratories that are valued in excess of \$10 million; or</p> <p>(3) a decision to approve issuance of a contract payment or payments in excess of \$10 million to Lockheed Martin Corporation/Sandia National Laboratories; or</p> <p>(4) a decision to pay or settle a claim in excess of \$10 million with Lockheed Martin Corporation/Sandia National Laboratories?</p>

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If the answer to 9a, 9b or 9c is YES, Please give a complete description of your involvement, time, place, circumstances, name of the program or contract, etc. <hr/>		
Yes <input type="checkbox"/>	No <input type="checkbox"/>	10. If not otherwise set forth above, is there any position or assignment you would be uncomfortable in performing on account of possible conflict of interest or impropriety? <hr/>
If so, please explain: <hr/>		
Yes <input type="checkbox"/>	No <input type="checkbox"/>	11a. Have you, at any time, been debarred or suspended from participation in government contracting, or have you been notified that such action is pending? <hr/>
Yes <input type="checkbox"/>	No <input type="checkbox"/>	11b. Have you been convicted of, or pleaded guilty to, government contract or procurement fraud? <hr/>
APPLICANT: Please furnish any opinion letter provided to you by your designated Agency Ethics official or Legal Department regarding your possible employment. <hr/>		
Signature: <hr/>		
Printed Name: <hr/>		Date: <hr/>